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PATENT

Case Docket No. SRSLABS.257A

Date: June 21, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yuen et al.  
Appl. No. : 09/411,143  
Filed : October 4, 1999  
For : ACOUSTIC CORRECTION  
APPARATUS  
Examiner : Tony M. Jacobson  
Group Art Unit: 2644 ✓

I hereby certify that this correspondence and  
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Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on

6/21/04  
(Date)John R. King  
John R. King, Reg. No. 34,362

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## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with twelve (12) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

John R. King  
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Registration No. 34,362  
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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant : Yuen et al.  
App. No. : 09/411,143  
Filed : October 4, 1999  
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Group Art Unit : 2644

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

Dear Sir:

Enclosed is form PTO-1449 listing 12 references that are also enclosed.

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Applicant also notes that U.S. Patent Application No. 10/764,266, titled ACOUSTIC CORRECTION APPARATUS, filed January 22, 2004 is pending.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: 6/21/04By: John R. King

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
SRSLABS.257AAPPLICATION NO.  
09/411,143INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Yuen, et al.FILING DATE  
October 4, 1999GROUP  
2837

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,921,104	11/18/75	Gundry			
	2	4,052,560	10/04/77	Santmann			
	3	4,698,842	10/06/87	Mackie et al.			
	4	4,891,560	01/02/90	Okumura et al.			
	5	5,067,157	11/19/91	Ishida et al.			
	6	5,822,438	10/13/98	Sekine et al.			
	7	5,892,830	04/06/99	Klayman			
	8	6,285,767	09/04/01	Klayman			
	9	6,597,791	07/22/03	Klayman			
	10	6,718,039	04/06/04	Klayman et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11	35 014	02/28/66	Finland				X
	12	JP62097500	05/06/87	Japan				X

EXAMINER  
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER	DATE CONSIDERED
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